Siebert
Account Transfer Form

Return Instructions:

New Accounts:
Email: newaccounts@siebert.com
Phone: 800.872.0444
Fax: 212.486.2784

Employee Stock Plan Clients:
Email: shareplansupport@siebert.com
Phone: 800.993.2015
Fax: 402.342.2486

Please Note:
SIEBERT DOES NOT allow transfers from Joint Accounts to Individual Accounts. If you are transferring from a Single Name Account to a Joint Account you acknowledge and understand a 50% loss of ownership will occur.

Transfer Type:

☐ Total (Complete Sections 1,2 and 6)	☐ Partial (Complete Sections 1,2,3 and 6)
☐ Mutual Fund (Complete Sections 1,2,4 and 6)	☐ Registration Change (Complete Sections 1,2,5 and 6)

1. Current Account Information

Please attach a copy of your statement to verify the information.

Firm	Clearing Number
Name/Account Title	Phone Number
Social Security Number/Tax ID	Account Number

The Account Title and Tax ID or Social Security Number must be identical at both firms. If the accounts are not identical, please contact the Siebert new accounts department at 800.872.0444.

Current Account Type

☐ Individual	☐ Employee Stock Option	☐ Traditional IRA	☐ SEP IRA	☐ Joint Account
☐ Corporate /Partnership	☐ Rollover IRA	☐ Money Purchase Pension	☐ Custodial Account	☐ Investment Club
☐ Roth IRA	☐ Profit Sharing	☐ Trust Account	☐ Estate/Fiduciary Account	☐ Inherited IRA
☐ Defined Benefit Plan	☐ TOD (Transfer on Death)	☐ Coverdell Education	☐ SIMPLE IRA	☐ Individual 401K

Note: You must submit a separate Account Transfer Form for each Account you are Transferring.

2. Siebert Account Information

Firm	Clearing Number		
Muriel Siebert & Co., LLC.	0445		
Address	City	State	Zip
300 Vesey Street, Suite 501	New York	NY	10282
Account Number	Social Security Number/Tax ID	Phone	
		1-800-872-0444	

The Account Title and Tax ID or Social Security Number must be identical at both firms. If the accounts are not identical, please contact Siebert New Accounts Dept. at 1-800-872-0444.

Siebert Account Type

☐ Individual	☐ Employee Stock Option	☐ Traditional IRA	☐ SEP IRA	☐ Joint Account
☐ Corporate /Partnership	☐ Rollover IRA	☐ Money Purchase Pension	☐ Custodial Account	☐ Investment Club
☐ Roth IRA	☐ Profit Sharing	☐ Trust Account	☐ Estate/Fiduciary Account	☐ Inherited IRA
☐ Defined Benefit Plan	☐ TOD (Transfer on Death)	☐ Coverdell Education	☐ SIMPLE IRA	☐ Individual 401K

Note: You must submit a separate Account Transfer Form for each Account you are Transferring.

3. Partial Transfer

This Section is for a Partial Transfer only. Please Attach a copy of your statement to verify the positions you wish to transfer.

Transfer Balance Amount $: ☐ **Credit** ☐ **Debit**

Quantity	Security Description / CUSIP	Quantity	Security Description

For official use only

Make checks payable to: Muriel Siebert & Co., LLC.

This is to confirm that we will accept the above captioned account as successor trustee.	13-2639174	
Muriel Siebert & Co., LLC.	Tax ID#	Date of Trust

4. Mutual Fund Transfer

This section is for transferring Mutual Funds specifically held at a Mutual Fund company.
You may also use this section to transfer or liquidate Bank CDs or Annuities

Name of Investment	Number of Shares or $ Amount	Liquidate or Re-register at Siebert		Cash or Reinvest	
		☐ Liquidate	☐ Re-register	☐ Cash	☐ Reinvest
		☐ Liquidate	☐ Re-register	☐ Cash	☐ Reinvest
		☐ Liquidate	☐ Re-register	☐ Cash	☐ Reinvest
		☐ Liquidate	☐ Re-register	☐ Cash	☐ Reinvest
		☐ Liquidate	☐ Re-register	☐ Cash	☐ Reinvest

5. Registration Change

If the current registration information (Social Security/Tax ID Number, Account Title, Account Type etc.) on the account you are transferring is different than your current account. Please read and sign the following:

"I/We authorize Muriel Siebert & Co., LLC. to transfer the account and its assets listed in Section 1 of the Muriel Siebert & Co., LLC. Account Transfer Form into t he New Account established at Muriel Siebert & Co., LLC. in Section 2 of the Muriel Siebert & Co., LLC. Account Transfer Form."

Signature	Date
SIGN ▶	

Signature	Date
SIGN ▶	

6. Transfer Agreements Signatures

Please transfer the securities as listed, either partially or in their entirety from the current carrying firm to Muriel Siebert & Co., LLC. Muriel Siebert & Co., LLC. is authorized by me to make payment to the carrying firm of the debit balance or to receive payment of the credit balance in my securities account. I understand that to the extent any assets in my securities account are not readily transferable, with or without penalties; such assets may not be transferred within the time frames required by New York Stock Exchange Rule 412 or similar rule of the Financial Industry Regulatory Authority or other designated examining authority. Unless otherwise indicated in the instruction below, I authorize the carrying firm to liquidate any proprietary money market fund assets that are part of my securities account and transfer the resulting credit balance to Muriel Siebert & Co., LLC. I understand that the current carrying firm will contact me with respect to the disposition of any other assets in my securities account that are non-transferable. If certificates or other instruments in my securities account are in the carrying firm's physical possession, I instruct them to transfer the securities in good deliverable form including affixing any necessary tax waivers, to enable Muriel Siebert & Co., LLC. to transfer them in its name for the purpose of sale, when and as directed by me. I further instruct the carrying firm to cancel all open orders for my securities account on your books. For retirement accounts or if you are age 70 1/2 or older in the calendar year (or are a spouse-beneficiary of such individual), you may be required to resolve the minimum distribution from the transferring/distributing plan. Therefore, you may only transfer or roll over amounts other than the required minimum distribution. Please contact your tax advisor and current Trustee regarding payment of the minimum distribution.

Signature	Date
SIGN ▶	

Signature	Date
SIGN ▶	

Receiving Firm: Muriel Siebert & Co., LLC.
300 Vesey Street, Suite 501
New York, NY 10282
Tax Identification No.: 13-2639174

Wiring Instructions: BMO Harris Bank
111 West Monroe St
Chicago, IL 60690
ABA No.: 071000288

Muriel Siebert Account No.: 4184933

Your Name	Account Number