

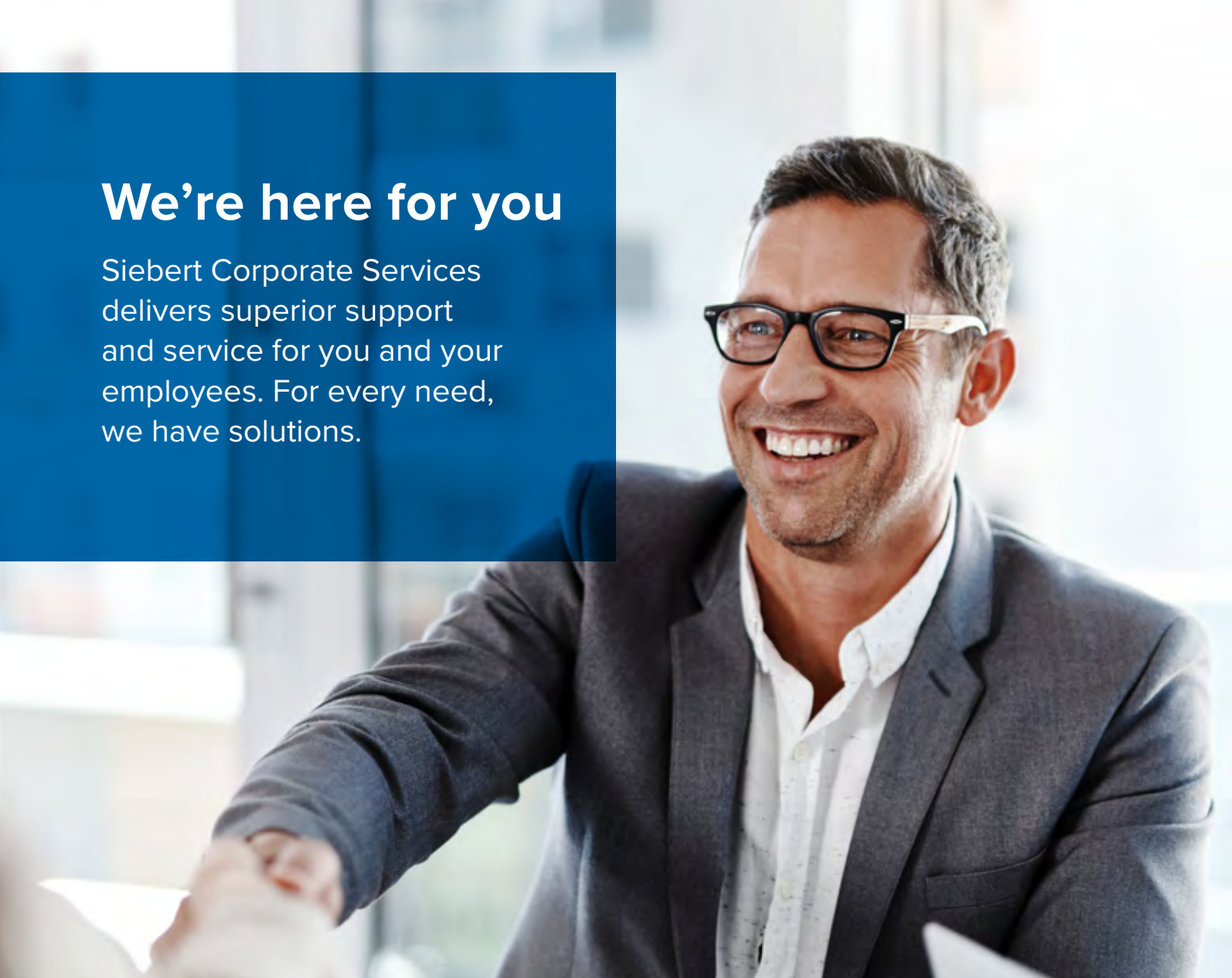


**EQUITY
COMPENSATION
SOLUTIONS**

**Siebert
Corporate Services**

We're here for you

Siebert Corporate Services delivers superior support and service for you and your employees. For every need, we have solutions.



+ 50 Years Experience

Muriel Siebert & Co., LLC. has been providing online and traditional discount brokerage services to clients for over 50 years. Siebert works with publicly traded companies of all sizes to assist them in managing equity compensation. Companies choose Siebert Corporate Services because we offer the personal one-on-one attention and service clients expect and deserve. Instilled with strong family values, we make sure every client feels at home.

An Extension of Your Team

Participant Services

Contact our service team via phone and email. Registered Representatives, CFSs, CFPs, and Equity Specialists are available to assist with all your needs.

CUSTOM HANDLING FOR CUSTOM NEEDS

Siebert handles each plan differently based on the needs of the employees, administrators, and company.

Executive Services

Siebert provides tailored assistance to address the unique needs and requirements of your officers, executives and insiders. We work with your legal counsel and stock administration team to ensure all parties stay well-informed throughout the entire process.

SPECIAL HANDLING FOR LARGE ORDERS

Siebert utilizes the Siebert Trading Group who uses cutting edge technology, coupled with decades of trader experience to provide ideal trade oversight and handling.¹ This service is offered at no additional cost to the issuer or participant.

10B5-1 PLANS

Siebert works with your executives to design and implement a Rule 10b5-1 trading plan, enabling systematic selling and purchasing of company stock at prearranged intervals.

Administrative Support

Corporate Services Share Plan Support Team is a true partner to you and your participants in supporting your equity compensation plans.

Services provided include:

- Establishing best practices with regards to implementation and ongoing procedures
- International expertise for your global plans
- Participant education: on-site, webinar, and documentation
- Primary point of contact for participant inquiries
- Timely settlement of proceeds and company payments
- Trade disposition and cost-basis reporting
- Trading restrictions and blackout coordination



RELATIONSHIP MANAGEMENT

A dedicated relationship manager is paired with every corporate client for strategic planning and review.

Financial Wellness

We consider ourselves an extension of your team, working to exceed your expectations in every phase. Siebert has knowledgeable Financial Advisors available who are passionate about helping participants achieve the most from their portfolio through a wide variety of investment choices.

Additional advice, education, and personal investment services for growth and capital preservation are available.²

Investments Available

- Highly-rated Mutual Funds ⁴
- Annuities & Life Insurance ⁵
- Certificates of Deposits (CDs) ⁶
- Collateralized Mortgage Obligations (CMOs)
- Corporate Bonds
- Stocks & Options ⁷
- Tax-Advantaged Municipal Bonds
- U.S and Foreign Bonds, Bills and Notes
- U.S. and Foreign Government Agency Issues

New issues⁸ & current investment opportunities

- Exchange Traded Funds (ETFs)
- Municipal Bonds
- Preferred Stocks
- Reverse Convertible Securities
- Structured CDs
- Syndicate Issues
- Unit Investment Trusts (UITs)

Personalized Financial Advice

Siebert Financial Advisors are available to help participants maximize their earnings and incorporate them into a long term, tax-efficient financial plan.

Several services we offer include:

- Bond Laddering
- Educational Planning
- Complimentary Portfolio Review
- Retirement Planning
- Siebert Money Management
- Tax-efficient Investment Strategies



For every need, we have solutions.

Make the most of our many benefits.

Our financial advisors can work with participants to consolidate, rollover, and incorporate their holdings into a comprehensive plan.



Reinvestment Opportunities

SiebertNXT / Automatically rebalanced, smart portfolios.

SiebertNXT³ Self-Directed

Participants can quickly and easily reinvest their shares directly from their account. SiebertNXT is self-directed investing platform that streamlines investing, giving them access to research and insights, step-by-step guidance and flexible tools—all with low, flat-rate pricing.

✓ LEARN MORE AT
WWW.SIEBERT.COM/SIEBERTNXT

SiebertNXT + Wealth Manager

We combine the benefits of advisor expertise with sophisticated portfolio management technology and SiebertNXT smart tailored portfolios. Participants can automatically exercise their options and roll them up into their automated portfolio. Their portfolio is automatically rebalanced⁹ and optimized for tax-efficient investing to keep them on track to meet their goals.

The Siebert Advantage

Custom Platforms for ALL SIZES

Siebert prides itself on providing personal service regardless of the size and components of your stock plan.



Flexible service model

Siebert partners with multiple technology companies to give you the flexibility of selecting the perfect administration and reporting platform to combine with brokerage services.

Employee stock plan portal

The Siebert portal provides full access to company equity through a single sign on at www.siebert.com.

Features include:

- Company specific home page
- Online account activation
- Real-time trading
- Self-service access to equity portfolio and statements
- Worldwide participant access and support

Technology advantage

Siebert technology partnerships create a distinct advantage through FIX connection trading and real-time transaction reporting. True integration reduces risk through immediate updates on the record keeping and brokerage platforms – no file transfers necessary.

Keeping participants informed

Customizable notifications are automated to alert participants of account activity or elections that require their attention.

Alerts include:

- ESPP enrollment
- New grants, online grant agreements
- Option exercise elected, transacted, and settled
- Option expiration
- Restricted stock taxable event

Superior service. Significant value.

- ✓ COMPETITIVE COMMISSIONS
- ✓ COMPLIMENTARY EDUCATION SEMINARS
- ✓ NO FEE TO CORPORATE ISSUER
- ✓ PARTICIPANT ACCOUNT MAINTENANCE FEE WAIVED
- ✓ NO ADDITIONAL FEES FOR SPECIAL HANDLING OF LARGE ORDER TRADES (> 5000 SHARES)



Contact Us:

800.993.2015

www.siebert.com/corporateservices

We'd love to
hear from you.

DISCLAIMER:

- ¹ Execution price, speed and liquidity are affected by many factors, including market volatility, size and type of order, and available market centers.
- ² Diversification, asset allocation and rebalancing do not ensure a profit or protect against loss.
- ³ Advisory services are offered by Siebert AdvisorNXT, LLC, a Registered Investment Advisor (“RIA”). SiebertNXT is a shorthand reference to the RIA Siebert AdvisorNXT, LLC, and any reference to SiebertNXT is meant to imply reference to Siebert AdvisorNXT, LLC.
- ⁴ Mutual funds are subject to market, exchange rate, political, credit, interest rate and prepayment risks, which vary depending on the type of mutual fund.
- ⁵ Variable annuities are sold by prospectus only. Before purchasing a variable annuity, you should carefully read the prospectus and consider the investment objectives and all risks, charges, and expenses associated with the annuity and its investment options.
- ⁶ Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value
- ⁷ Options involve risks and are not suitable for all investors.
- ⁸ Some new issues carry special risks and provisions that should be studied and understood by prospective investors. Structured notes and reverse convertible notes have risks associated with principal and payment of interest and principal which are detailed in prospectuses. Investors must carefully read the prospectus before investing. Siebert can also provide educational material which helps explain these investments. Investors should consider the investment objective and unique risk profile of Exchange-Traded Products (ETPs), including Exchange Traded Funds (ETFs) and Exchange-Traded Notes (ETNs), carefully before investing. ETPs are subject to risks similar to those of other diversified portfolios. ETP trading will generate tax consequences. Although ETPs are designed to provide investment results that generally correspond to the performance of their respective underlying indices, they may not be able to exactly replicate the performance of the indices because of expenses and other factors. A prospectus contains this and other information about the ETP and should be read carefully before investing. ETFs are required to distribute portfolio gains to shareholders at year end. These gains may be generated by portfolio re-balancing or the need to meet diversification requirements. Structured issues, reverse convertible notes and ETNs also contain credit risk of an underlying issuer. If the issuer defaults, investors may lose some or all their investment.
- ⁹ When portfolio drift exceeds a certain threshold, the portfolio manager will then evaluate when the best execution for a rebalance will occur. A rebalance occurs when the difference between your original target allocation for your portfolio and the actual weights in your current portfolio has shifted more than 5%. This 5%, or the difference between what your original target asset allocation was and where your current asset allocation is; is called portfolio drift. Portfolios adjust and change over time due to many factors in the market place. SiebertNXT has custom built in features alongside our investment team that help automatically rebalance your account. If we see your portfolio isn't on track for what your original allocation should be, the portfolio rebalances or “readjusts” to get you back on target based on your risk analysis and investment goals.

The strategies presented are intended to illustrate the products and services available through Muriel Siebert & Co., LLC, and should not be considered an offer, solicitation or endorsement. Not all investments are suitable for all investors. This material does not take into account your plan's objectives, financial situations or needs and is not intended as a recommendation, offer or solicitation for the purchase or sale of any security, financial instrument, or strategy.

Clients and potential clients are reminded that any investment in securities involves a degree of risk, which could always amount to the complete loss of principal. Market factors, investment style differences and investment management skills are some of the factors that will determine how well your investment performs. Clients and potential clients are strongly advised to carefully investigate their investment opportunity, including inquiring of its trusted advisor or advisors and then only invest once satisfied that the evaluation process has been satisfactory. Clients and potential clients are encouraged to check the background of their advisor on FINRA's BrokerCheck.

Investment Advisor Investments/Products:

Are not insured by the FDIC or any other federal government agency.
Are not deposits or guaranteed by Siebert AdvisorNXT or its parent company or affiliates.
May lose value.

Muriel Siebert & Co., LLC, is an affiliated broker-dealer of the public holding company, Siebert Financial Corporation, which also owns Siebert AdvisorNXT LLC.

For more information on Siebert AdvisorNXT LLC, and our advisory services, call us at 855-299-1980 to speak with a professional investment advisor. Learn how we can assist in the success of your financial future.

Siebert Corporate Services is a marketing name for the Corporate Services business of Muriel Siebert & Co., LLC. Muriel Siebert & Co., LLC, is a registered broker-dealer and is a member of NYSE, FINRA and SIPC.

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